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40570 7590 07/30/2009

**FRIEDRICH KUEFFNER**  
**317 MADISON AVENUE, SUITE 910**  
**NEW YORK, NY 10017**

11/02/2009 HDESTA2 00000093 10580168

01 FC:1501 1510.00 OP  
 02 FC:1504 300.00 OP

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**Friedrich Kueffner**

(Depositor's name)

*F Kueffner*

(Signature)

10/28/2009

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/580,168	05/19/2006	Florian Lindner	HM-696PCT	3817

TITLE OF INVENTION: ADJUSTING ROLL IN ROLLING FRAMES, AMONG OTHERS VERTICAL UPSET FORGING FRAMES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	10/30/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
JENNINGS, STEPHANIE M	3725	072-245000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Friedrich Kueffner**

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**SMS Demag AG**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**40237 Düsseldorf, Germany**Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
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- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number **11-1835** (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*F Kueffner*

Date

10/28/2009

Typed or printed name

**Friedrich Kueffner**

Registration No.

**29,482**

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<i>F. Kueffner</i>	(Signature)
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*F. Kueffner*

Date

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